

REPORT DOCUMENTATION PAGE

Form Approved
OMB NO. 0704-0188

Public Reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comment regarding this burden estimates or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188) Washington, DC 20503.

1. AGENCY USE ONLY (Leave Blank)		2. REPORT DATE 29 November 2001	3. REPORT TYPE AND DATES COVERED Final Progress Report 15 Nov. 1997 - 11 Nov. 2000 <i>(Extended to 14 November 2001)</i>
4. TITLE AND SUBTITLE Soft Decision Decoding of Convolutional Codes in Direct-Sequence Spread-Spectrum Mobile Wireless Communications		5. FUNDING NUMBERS DAAG55-98-1-0013	
6. AUTHOR(S) Michael B. Pursley		8. PERFORMING ORGANIZATION REPORT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Electrical and Computer Engineering Clemson University 303 Fluor Daniel Building Clemson, SC 29634		10. SPONSORING / MONITORING AGENCY REPORT NUMBER ✓ 37778-EL-DPS •7	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U. S. Army Research Office ATTN: AMSRL-RO-BI (TR) P.O. Box 12211 Research Triangle Park, NC 27709-2211		11. SUPPLEMENTARY NOTES The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.	
12 a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited.		12 b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) New uses of convolutional codes have been developed for nonuniform phase-shift-key (PSK) signaling. Soft-decision decoding of convolutional codes has been investigated for applications of nonuniform PSK modulation to multimedia signaling and multicast transmission in direct-sequence spread-spectrum wireless communication networks. Research has also been conducted on new processing techniques in PSK receivers to provide soft information for decoding of convolutional and turbo codes. Methods were also investigated for the development of soft-decision information in rake receivers for communication over fading multipath channels. Research was initiated on soft-decision decoding for turbo product codes.			
14. SUBJECT TERMS soft-decision decoding, receiver processing, multimedia modulation, fading channels, nonuniform phase-shift-key modulation		15. NUMBER OF PAGES 4	16. PRICE CODE
17. SECURITY CLASSIFICATION OR REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION ON THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL

NSN 7540-01-280-5500

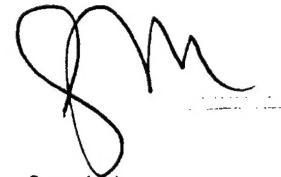
20020201 062



MEMORANDUM OF TRANSMITTAL

29 November 2001

U.S. Army Research Office
ATTN: AMSRL-RO-BI (TR)
P.O. Box 12211
Research Triangle Park, NC 27709-2211



Reprint (Orig + 2 copies) Technical Report (Orig + 2 copies)
 Manuscript (1 copy) Final Progress Report (Orig + 2 copies)
 Related Materials, Abstracts, Theses (1 copy)

CONTRACT/GRANT NUMBER: DAAG55-98-1-0013

REPORT TITLE: Soft Decision Decoding of Convolutional Codes in Direct-Sequence Spread-Spectrum Mobile Wireless Communications

is forwarded for your information.

SUBMITTED FOR PUBLICATION TO (applicable only if report is manuscript):

Sincerely



Michael B. Pursley 37778-EL-DPS
Department of Electrical & Computer
Engineering
Clemson University
303 Fluor Daniel Bldg.
Clemson, SC 29634

FINAL REPORT

PROJECT TITLE: Soft Decision Decoding of Convolutional Codes in Direct-Sequence Spread-Spectrum Mobile Wireless Communications

Army Research Office Grant Number DAAG55-98-1-0013
ARO Proposal Number 37778-EL-DPS

ABSTRACT

New uses of convolutional codes have been developed for nonuniform phase-shift-key (PSK) signaling. Soft-decision decoding of convolutional codes has been investigated for applications of nonuniform PSK modulation to multimedia signaling and multicast transmission in direct-sequence spread-spectrum wireless communication networks. Research has also been conducted on new processing techniques in PSK receivers to provide soft information for decoding of convolutional and turbo codes. Methods were also investigated for the development of soft-decision information in rake receivers for communication over fading multipath channels. Research was initiated on soft-decision decoding for turbo product codes.

KEY WORDS

soft-decision decoding, receiver processing, multimedia modulation, fading channels, nonuniform phase-shift-key modulation

SCIENTIFIC PERSONNEL SUPPORTED AND HONORS, AWARDS, AND DEGREES

Faculty: Professor Michael B. Pursley

Graduate Students: T. G. Macdonald, J. van der Horst, J. S. Skinner

Degrees granted: J. van der Horst, M.S. in Electrical Engineering
 T. G. Macdonald, Ph.D. in Electrical Engineering

Honors and Awards for T. G. Macdonald:

AFCEA Fellowship, 1998

College of Engineering and Science Outstanding Graduate Research Award, 2001

Clemson University Outstanding Graduate Research Award, 2001

Department of Defense MURI Fellow, 2000-01

Honors and Awards for M. B. Pursley:

IEEE Military Communications Conference Award for Technical Achievement, 1999

Clemson University Alumni Award for Outstanding Research, 2000

Honorary Member, Golden Key National Honor Society, Fall 2000

IEEE Millennium Medal, 2000

IEEE Communications Society Distinguished Lecturer, 2001-2002

REPORT OF INVENTIONS: none

SCIENTIFIC PROGRESS AND ACCOMPLISHMENTS

The processing that is often employed in receivers for quaternary direct-sequence spread-spectrum communications operates separately on the inphase and quadrature components of the spread-spectrum signal. Recent results on quaternary complex sequences provide a strong motivation to employ processing methods that permit the receiver to benefit from the correlation properties of the complex sequences. This can be accomplished through the use of complex processing and complex correlators in the receiver. We have developed receiver architectures that take advantage of the improved periodic correlation properties of the quaternary complex sequences. The outputs of the complex correlators will serve as the soft-decision inputs to decoders in the spread spectrum receiver.

We have shown that nonuniform phase-shift-key (PSK) signaling permits the transmission of multimedia information, and it also provides an efficient way to transmit multicast messages to receivers of different capabilities. Convolutional coding has been incorporated with nonuniform PSK transmission to improve the energy efficiency of the multimedia and multicast transmissions. Soft-decision decoding of convolutional codes has been investigated for applications of nonuniform PSK modulation to direct-sequence spread-spectrum wireless communication networks.

The characteristics of mobile wireless communication channels vary with time, and network throughput can be increased by adapting the modulation and coding to match the channel conditions. We showed that nonuniform PSK modulation can be used in an adaptive signaling scheme to deliver multiple messages with different requirements for quality of service. The signaling methods that we propose deliver a basic message at a specified error rate and simultaneously deliver an additional message by exploiting any extra capability that is available. We show that by adapting the location of the points in a PSK constellation, the throughput can be maximized for the additional message while maintaining an acceptable error rate for the basic message. Responses to larger changes in channel quality are accomplished by adapting the PSK constellation size, signaling rate, and error-correcting code. Examples of adaptive, nonuniform PSK signaling are presented, including an application to a cellular direct-sequence spread-spectrum multiple-access system, also known as cellular code-division multiple access (CDMA).

We obtained simple closed-form expressions for the probability of error for M-ary phase-shift-key (M-PSK) signaling over a channel with additive white Gaussian noise and nonselective Rayleigh fading. These expressions can be used to analyze the performance of standard uniform M-PSK constellations and nonuniform M-PSK constellations, which we have shown to be extremely useful for multimedia communication, multicast transmission, and adaptive signaling. We analyzed several systems that employ nonuniform M-PSK for multicast transmission over Rayleigh fading channels, and we determine the performance of nonuniform M-PSK with convolutional coding and soft-decision decoding.

One phase of research focused on military cellular CDMA with mobile base stations and handsets that employ soft-decision decoding with three bits of soft-decision information. The performance of a forward link that has interference from one or more neighboring base stations was evaluated. A multiple-cell mobile system was evaluated by simulation and compared with results on a single-cell simulation in which Gaussian noise is used to model the interference and shown to give very good accuracy for both hard- and soft-decision decoding. We believe this to be the first investigation of the accuracy of the Gaussian approximation for CDMA systems with soft-decision decoding.

Research was initiated on turbo-product codes. Our plan was to use a development board marketed by Efficient Channel Coding, Inc., to investigate the performance of iterated soft-decision decoding in direct-sequence and frequency-hop spread spectrum systems. Problems with the hardware hindered this work, and we were delayed by more than a year before these problems were overcome. We found it very difficult to find a computer that is compatible with the board. In spite of the recommendation from ECC to purchase a particular model of Dell computer, we found this computer is not compatible with the board. In the summer of 2001 we found a computer in which the ECC board could be used, and many simulations were completed prior to the termination of the grant. Preliminary results were presented at MIT Lincoln Laboratory in August 2001, where we exchanged ideas a research results with Lincoln Laboratory personnel who are using the development board for research on communications over fading channels. Unfortunately our request for a time-extension on the grant was denied, so the work on turbo coding will not be completed under ARO sponsorship.

TECHNOLOGY TRANSFER

Results on soft-decision methods were employed in ITT's Handheld Multimedia Terminal (HMT) that was developed for the DARPA GloMo project High Reliability All-Informed Voice Service for Handheld Multimedia Terminals within a Tactical Network. Discussions were underway with ITT concerning applications to JTRS when the grant was terminated.

PUBLICATIONS

T.G. Macdonald and M.B. Pursley, "Receiver architectures and aperiodic correlation properties for direct-sequence spread spectrum with Quaternary complex spreading sequences," *Proceedings of the 1998 IEEE International Symposium on Information Theory*, (Cambridge, MA), p. 131, August 1998.

T.G. Macdonald and M.B. Pursley, "Complex processing in quaternary direct-sequence spread-spectrum receivers," *Proceedings of the 1998 IEEE Military Communications Conference*, (Boston, MA), vol. 2, pp. 494-498, October 1998.

M.B. Pursley and J.M. Shea, "Adaptive modulation and coding for CDMA cellular radio systems," *Proceedings of the 1998 IEEE Military Communications Conference*, (Boston, MA), vol. 1, pp. 113-117, October 1998.

A.L. Garrett, T.G. Macdonald, D.L. Noneaker, M.B. Pursley, and J.M. Shea, "Interference in mobile cellular CDMA forward traffic channels," *Proceedings of the 1998 IEEE Military Communications Conference*, (Boston, MA), vol. 2, pp. 504-508, October 1998.

M. B. Pursley and J. M. Shea, "Nonuniform phase-shift-key modulation for multimedia multicast transmission in mobile wireless networks," *IEEE Journal on Selected Areas in Communications*, vol. 17, no. 5, pp. 774-783, May 1999.

M. B. Pursley and J. M. Shea, "Multicast transmission with nonuniform phase-shift-key modulation and convolutional coding over Rayleigh fading channels," *Proceedings of the 1999 IEEE Military Communications Conference*, (Atlantic City, NJ), vol. 1, pp. 306-310, November 1999.

T. G. Macdonald and M. B. Pursley, "Comparison of direct-sequence spread-spectrum multiple-access systems with QPSK data modulation," *Proceedings of the 1999 IEEE Military Communications Conference*, (Atlantic City, NJ), vol. 1, pp. 561-565, November 1999.

M. B. Pursley and J. M. Shea, "Multimedia multicast wireless communications with phase-shift-key modulation and convolutional coding," *IEEE Journal on Selected Areas in Communications*, vol. 17, no. 11, pp. 1999-2010, November 1999.

M. B. Pursley and J. M. Shea, "Adaptive nonuniform phase-shift-key modulation for multimedia traffic in wireless networks," *IEEE Journal on Selected Areas in Communications*, vol. 18, no. 8, pp. 1394-1407, August 2000. ✓

T. G. Macdonald and M. B. Pursley, "Complex processing for direct-sequence spread spectrum with quaternary data modulation," *IEEE Journal on Selected Areas in Communications*, vol. 18, no. 8, pp. 1408-1417, August 2000.

M. B. Pursley and J. M. Shea, "Channel quality estimation with channel error counts for adaptive signaling in wireless communications," *Proceedings of the 2000 IEEE International Symposium on Information Theory*, (Sorrento, Italy), p. 109, June 2000.

T. G. Macdonald, D. L. Noneaker, M. B. Pursley, and J. M. Shea, "Adjacent-cell interference in CDMA forward traffic channels," *International Journal of Wireless Information Networks*, vol. 7, no. 4, pp. 187-196, October 2000. ✓

M. B. Pursley, "Direct-sequence spread-spectrum communications for multipath channels," invited paper for the *IEEE Transactions on Microwave Theory and Techniques*, to appear, 2002. ✓

J. H. Gass, Jr., D. L. Noneaker, and M. B. Pursley, "A comparison of slow-frequency-hop and direct-sequence spread-spectrum communications over doubly selective fading channels," submitted to the *IEEE Transactions on Communications*.